05/20/2009 11:13

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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee Office Use Only 1. NAME OF **USE FEC MAILING LABEL** Example: If typing, type COMMITTEE (in full) OR TYPE OR PRINT over the lines NEW YORK STATE COMMITTEE OF THE WORKING FAMILIES PARTY 2-4 Nevins Street ADDRESS (number and street) Floor 3 Check if different than previously **BROOKLYN** NY 11217 reported. (ACC) FEC IDENTIFICATION NUMBER **STATE** ZIPCODE A CITY A IS THIS NEW **AMENDED** C00350991 Χ REPORT OR (N) (A) **TYPE OF REPORT** (b) Monthly Nov 20 (M11) Feb 20 (M2) May 20 (M5) Aug 20 (M8) (Non-Election Year Only) Report (Choose One) Due On: Dec 20 (M12) (Non-Election Year Only) Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) (a) Quarterly Reports: Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE) April 15 Quarterly Report(Q1) 12-Day Х (c) Primary (12P) General (12G) Runoff (12R) July 15 PRE-Election Quarterly Report(Q2) Report for the: Convention (12C) Special (12G) October 15 Quarterly Report(Q3) January 31 Quarterly Report(YE) in the 11 04 2008 Election on State of July 31 Mid-Year (d) 30-Day Report(Non-election Year Only) (MY) Runoff (30R) Post -Election General (30G) Special (30S) Report for the: Termination Report (TER) in the Election on State of 10 0 1 2008 10 2008 15 through Covering Period I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. George Short Type or Print Name of Treasurer Electronically Filed by George Short 05 20 2009 Signature of Treasurer Date NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g. Office **FEC FORM 3X** Use (Rev. 12/2004) Only

FE6AN026

SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2 Write or Type Committee Name NEW YORK STATE COMMITTEE OF THE WORKING FAMILIES PARTY [®] D ^b D 1.0 0 1 2008 1.0 15 2008 Report Covering the Period: From: To: **COLUMN A COLUMN B This Period** Calendar Year-to-Date (a) Cash on Hand 160984.40 2008 January 1 (b) Cash on Hand at 351383.93 Begining of Reporting Period 26472.76 567410.66 (c) Total Receipts (from Line 19) (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 377856.69 728395.06 6(a) and 6(c) for Column B) 44991.42 395529.79 7. Total Disbursements (from Line 31) Cash on Hand at Close of Reporting Period 332865.27 332865.27 (subtract Line 7 from Line 6(d)) 9. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) This Committee has qualified as a multicandidate committee. (see FEC FORM 1M) For further information contact: Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

NEW YORK STATE COMMITTEE OF THE WORKING FAMILIES PARTY

0 1 1^D5 M N м м 1 0 2008 2008 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 50775.13 26.13 (i) Itemized (use Schedule A) 36.00 64449.65 (ii) Unitemized (iii) TOTAL (add 62.13 115224.78 Lines 11(a)(i) and (ii) 0.00 0.00 (b) Political Party Committees Other Political Committees 15000.00 191000.00 (such as PACs) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry 15062.13 306224.78 Totals to Line 33, page 5) 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees 0.00 0.00 13. All Loans Received 0.00 0.00 14. Loan Repayments Received 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5) 16. Refunds of Contributions Made to Federal candidates and Other 0.00 0.00 Political Committees 17. Other Federal Receipts 0.00 1.52 (Dividends, Interest, etc.) 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 11410.63 261184.36 (from Schedule H3) 0.00 0.00 (b) Levin Funds (from Schedule H5) 11410.63 261184.36 (c) Total Transfer (add 18(a) and 18(b)). 19. Total Receipts (add Lines 11(d), 26472.76 567410.66 12, 13, 14, 15, 16, 17, and 18(c)) 20. Total Federal Receipts 15062.13 306226.30 (subtract Line 18(c) from Line 19)

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4 **COLUMN A COLUMN B II. DISBURSEMENTS Total This Period** Calendar Year-to-Date 21. Operating Expenditures: (a) Shared Federal/Non-Federal Activity (from Schedule H4) 4437.47 103832.48 (i) Federal Share..... 11410.63 261184.58 (ii) Non-Federal Share..... (b) Other Federal Operating 6444.40 6444.40 Expenditures..... (c) Total Operating Expenditures 22292.50 371461.46 (add 21(a)(i), (a)(ii) and (b))............ 22. Transfers to Affiliated/Other Party 0.00 0.00 Committees..... Contributions to 23. Federal Candidates/Committees.....and Other Political Committees..... 5000.00 5000.00 24. Independent Expenditure 12728.94 12728.94 0.00 0.00 0.00 0.00 26. Loan Repayments Made..... 0.00 0.00 27. Loans Made..... 28. Refunds of Contributions To: Individuals/Persons Other 0.00 0.00 Than Political Committees 0.00 0.00 (b) Political Party Committees (c) Other Political Committees 0.00 0.00 (such as PACs) (d) Total Contribution Refunds 0.00 0.00 (add Lines 28(a), (b), and (c)) 169.98 1539.39 29. Other Disbursements..... 30. Federal Election Activity (2 U.S.C 431(20)) (a) Shared Federal Election Activity (from Schedule H6) 0.00 0.00 (i) Federal Share 0.00 0.00 (ii) "Levin" Share (b) Federal Election Activity Paid Entirely 4800.00 4800.00 With Federal Funds (c) Total Federal Election Activity (add 4800.00 4800.00 Lines 30(a)(i), 30(a)(ii) and 30(b)).... 31. Total Disbursements (add Lines 21(c), 22, 44991.42 395529.79 23, 24, 25, 26, 27, 28(d), 29 and 30(c)).. 32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) 33580.79 134345.21 from Line 31).....

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Opera Expenditures	ating COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loar from Line 11(d), page 3)	·	306224.78
34. Total Contribution Refunds (from Line 28(d))		0.00
 Net Contributions (other than loans (subtract Line 34 from Line 33) 	15060 10	306224.78
 Total Federal Operating Expenditure (add Line 21(a)(i) and Line 21(b)) 	10991 97	110276.88
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	10881.87	110276.88

FE6AN026

A.

В.

PAGE 6/14 FOR LINE NUMBER: SCHEDULE A (FEC Form 3X) Use separate schedule(s) (check only one) for each category of the **ITEMIZED RECEIPTS** 11a 11b 11c **Detailed Summary Page** 13 14 17 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) NEW YORK STATE COMMITTEE OF THE WORKING FAMILIES PARTY Full Name (Last, First, Middle Initial) Date of Receipt . John Mailing Address 2-4 Nevins Street 10 8 0 2008 City State Zip Code Transaction ID: SA11AI.77739 Brooklyn NY 11217 Amount of Each Receipt this Period FEC ID number of contributing 25.00 C federal political committee. Name of Employer Post Primary Fundraise Occupation university professor Receipt For: Aggregate Year-to-Date Primary General 525.00 Other (specify) Full Name (Last, First, Middle Initial) UNDER \$25. MISCELLANEOUS Date of Receipt Mailing Address 2-4 Nevins St. 10 2008 City Transaction ID: SA11AI.77738 State Zip Code Brooklyn NY 11217 Amount of Each Receipt this Period FEC ID number of contributing C 1.13 federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date

SUBTOTAL of Receipts This Page (optional)	>	26.13
TOTAL This Period (last page this line number only)	•	26.13

489.78

Primary

Other (specify)

General

	SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 7 / 14 (check only one) 11a 11b X 11c 12 13 14 15 16 17
	Any information copied from such Reports and St or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	atements mand add	y not be sold or used by any persor dress of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
	NEW YORK STATE COMMITTEE OF	THE WORI	KING FAMILIES PARTY	
Α.	Full Name (Last, First, Middle Initial) Lowey			Date of Receipt
	Mailing Address Mamaroneck Avenue 2	22 S-310		10 02 7 9 9 9
	City	State	Zip Code	Transaction ID: SA11C.77731
	White Plains	NY	10605	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		10000.00
	Name of Employer	Occupatio	n	01
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 10000.00	
В.	Full Name (Last, First, Middle Initial) Schumer			Date of Receipt
	Mailing Address			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: SA11C.77732 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		5000.00
	Name of Employer United States Senate	Occupatio	n	01
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 5000.00	

SUBTOTAL of Receipts This Page (optional)	•	15000.00
TOTAL This Period (last page this line number only)	<u> </u>	15000.00

State:

A.

District:

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE	NUMBER	iE 8	14				
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	X 21b 27	22 28a	23 28b	$oldsymbol{\sqcup}$	24 28c	25		26 30b
Any Information copied from such Reports and Staten or for commercial purposes, other than using the nam									
NAME OF COMMITTEE (In Full) NEW YORK STATE COMMITTEE OF THI	E WORKING FAMILIES PA	ARTY							
Full Name (Last, First, Middle Initial) Prestige Employee Administrators Mailing Address 136 Woodbury Rd. Suite	201			ction ID: Disburse	_		5376 2 0 0		
City Woodbury Purpose of Disbursement	State Zip Code NY 11797		Amount	t of Each	Disb		ent thi		od
Wages Candidate Name		Category/ Type							
Office Sought: House Disburse Senate President	ement For: 2008 Primary X General Other (specify)								

SUBTOTAL of Disbursements This Page (optional)	<u> </u>	6444.40
TOTAL This Period (last page this line number only)	—	6444.40

A.

~									
5(CHEDULE B (FEC Form 3X)		INE NUMBER: PAGE 9/14						
IT	EMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check onl	y one)					
••	LIMIZED DISBOTISEMENTS	Detailed Summary Page	21b	22 X 23 24 25 26					
			27	28a 28b 28c 29 30b					
An	y Information copied from such Reports and Sta	tements may not be sold or used b	y any person	for the purpose of soliciting contributions					
or	for commercial purposes, other than using the n	ame and address of any political co	ommittee to so	olicit contributions from such committee					
$\overline{}$	NAME OF COMMITTEE (In Full)								
\rangle	NEW YORK STATE COMMITTEE OF 1	THE WORKING FAMILIES PA	ARTY						
	Full Name (Last, First, Middle Initial)			Transaction ID: SB23.53764					
	CT WORKING FAMILIES FEDERAL PA	AC D/B/A TAKE BACK CONG	RESS	Date of Disbursement					
	Mailing Address 621 FARMINGTON A	VENUE		10 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0					
	City	State Zip Code		Amount of Each Disbursement this Period					
	HARTFORD	CT 06105							
	Purpose of Disbursement Contribution	[•	5000.00					
	Candidate Name		Category/						
	Candidate Name		Type						
	Office Sought: House Disbu	rsement For: 2008							
	Senate	Primary X General							
	President	Other (specify)							
	State: District:								

SUBTOTAL of Disbursements This Page (optional)	•	5000.00
TOTAL This Period (last page this line number only)	—	5000.00

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS Any Information copied from such Reports and Statement	for each category of the Detailed Summary Page (check only 21b 27 ents may not be sold or used by any person the control of th	22 23 24 25 26 28a 28b 28c X 29 30b for the purpose of soliciting contributions
or for commercial purposes, other than using the name NAME OF COMMITTEE (In Full) NEW YORK STATE COMMITTEE OF THE		ilicit contributions from such committee
Full Name (Last, First, Middle Initial) JP Morgan Chase Bank Mailing Address 20 Flatbush Avenue		Transaction ID: SB29.77752 Date of Disbursement M M M D D D V Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	State Zip Code NY 11217 001 Category/ Type	Amount of Each Disbursement this Period 169.98
Office Sought: House Disburser Senate President State: District:	**	

			-	-			
SUBTOTAL of Disbursements This Page (optional)	•					169.98	
TOTAL This Deviced (lock norm this line asserber only)	_					169.98	
TOTAL This Period (last page this line number only)	•			_		109.90	

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	-	NUMBER:	PAGE 11/14
TEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check online 21b	y one)	☐ 24 ☐ 25 ☐
		27	28a 28b	28c 29 X
Any Information copied from such Reports and Staten or for commercial purposes, other than using the nam				
NAME OF COMMITTEE (In Full)	c and address of any pointed		mon continuations in	om saon committee
NEW YORK STATE COMMITTEE OF THI	E WORKING FAMILIES	PARTY		
Full Name (Last, First, Middle Initial) Franz Hartl			Transaction ID Date of Disburs	: SB30B.53766 ement
Mailing Address 433 West 34th Street			10 / 0	03 7 2008
City New York	State Zip Code NY 10001		Amount of Each	n Disbursement this Period
Purpose of Disbursement Internet Strategy				1400.00
Candidate Name		Category/ Type		
Senate President	ement For: 2008 Primary X General Other (specify)			
State: District: Full Name (Last, First, Middle Initial)				00000
Franz Hartl			Date of Disburs	
Mailing Address 433 West 34th Street			10 / 0	15 / 2008
City New York	State Zip Code NY 10001		Amount of Each	n Disbursement this Period
Purpose of Disbursement Internet Strategy				1400.00
Candidate Name		Category/ Type		
Office Sought: House Disburse Senate President State: District:	ement For: 2008 Primary X General Other (specify)			
Full Name (Last, First, Middle Initial) Living Liberally			Transaction ID Date of Disburs	: SB30B.53769 ement
Mailing Address C/O Justin Krebs, 425 W	est 45th St		10 / 0	15 Y 2008
City NYC	State Zip Code NY 10036		Amount of Each	Disbursement this Perio
Purpose of Disbursement Internet Strategy			L	2000.00
Candidate Name		Category/ Type		
Senate President	ement For: 2008 Primary X General Other (specify)			
State: District:				· · · · · · · · · · · · · · · · · · ·
SUBTOTAL of Disbursements This Page (optional)		<u></u>		4800.00
TOTAL This Period (last page this line number only)				4800.00

SCHEDULE E (FEC Form 3X) ITEMIZED INDEPENDENT EXPENDITURES

TEMIZED INDEPENDENT EXP	ENDITUR	ES		PAGE 12/14
NAME OF COMMITTEE (In Full)				FOR LINE 24 OF FORM 3X
NEW YORK STATE COMMITTEE OF THE WO	ORKING FAMILI	IES		C C00350991
Check if 24-hour notice 48-hour	ur notice			0
Full Name (Last, First, Middle, Initial) of Payer			Date	
Berlin Rosen			M M /	D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Mailing Address			Amount	
15 Maiden Lane, Suite 803				6350.70
City	State	Zip Code		n ID: SE.77747
New York	NY	10038	Office Sough	
Purpose of Expenditure Campaign Literature		Category/ Type 006		Senate District: 25 Presidential
Name of Federal Candidate supported or Opp	osed by expend	liture:	Check One:	X Support Oppose
DANIEL BENJAMIN MR. MAFFEI			Disbursemen	t For: Primary X General
			Oth	er (specify) :
Calendar Year-To-Date Per Election		6350.70	2008	(
for Office Sought				
Full Name (Last, First, Middle, Initial) of Payed	9		Date	
Berlin Rosen			1 0 /	15 / Y Y Y Y Y Y
Mailing Address			Amount	
15 Maiden Lane, Suite 803			Turnanation	6378.24
City	State	Zip Code		n ID: SE.77751
New York	NY	10038	Office Sough	
Purpose of Expenditure		Category/ OOG		Senate District: 29 Presidential
Campaign Literature		Type 006		1 residential
Name of Federal Candidate supported or Opp	osed by expend	liture:	Check One:	X Support Oppose
JAMES T WALSH	,			
			Disbursemen	
Calendar Year-To-Date Per Election		6378.24	2008	er (specify) :
for Office Sought	• • • •	0370.24	2000	
(a) SUBTOTAL of Itemized Independent Expendent	ditures			12728.94
(b) SUBTOTAL of Unitemized Independent Exp	enditures			
(c) TOTAL Independent Expenditures				12728.94
Under penalty of perjury I certify that the independent or at the request or suggestion of, any candidate or a committee) any political party committee or its agent.				
		M M	D D	Y Y Y Y Y
George Short		Date 0.5		2009
Signature				

SCHEDULE H3 (FEC Form 3X) TR AL

TRANSFERS FRÒM NONFEDERAL	ACCOUNTS FOR	
ALLOCATED FEDERAL / NONFEDE	ERAL ACTIVITY	PAGE 13 / 14 FOR LINE 18a OF FORM 3
NAME OF COMMITTEE (In Full) NEW YORK STATE COMMITTEE OF THE	WORKING FAMILIES PARTY	
NAME OF ACCOUNT	DATE OF RECEIPT	TOTAL AMOUNT TRANSFERRED
NY WFP State Account	M M / D D / Y Y Y Y Y Y 2 0 0 8	8 11410.63
BREAKDOWN OF TRANSFER RECEIVED		0.00
i) Total Administrative		0.00 Transaction ID: H3.77753
ii) Generic Voter Drive		Transaction ID:
iii) Exempt Activities		
		Transaction ID:
iv) Direct Fundraising (List Activity or Event	Identifier)	
a)		Transaction ID:
b)		Transaction ID:
c) Total Amount Transferred for Direct Fund		
v) Direct Candidate Support (List of Activity	y or Event Identifier)	
a <u>)</u>	_	Transaction ID:
b)	_	Transaction ID:
c) Total Amount Transferred For Direct Ca	indidate Support	
vi) Public Communications Referring Only	to Party (Made by PAC)	
vi) rubic communications relearing only	(Made by 1 Ad)	Transaction ID:
TOTAL	S FOR BREAKDOWN OF TRANSFER RE	ECEIVED
TOTAL This Period (Administrative)	0.00	
TOTAL This Period (Generic Voter Drive)	0.00	
TOTAL This Period (Exempt Activities)		0.00
TOTAL This Period (Direct Fundraising)		0.00
TOTAL This Period (Direct Candidate Support)		0.00
TOTAL This Period (Public Communications Referring	Only to Party)	0.00
TOTAL This Period (Total Amount Transferred)		11410.63

SCHEDULE H4 (FEC Form 3X) DISBURSEMENT FOR ALLOCATED FEDERAL/NONFEDERAL ACTIVITY

PAGE	1	4 / 1	14		
FOR	LINE	21a	OF	FORM 3X	

,,,				FOR LINE 21a OF FORM 3X
NAME OF COMMITTEE ((In Full)			
NEW YORK STATE	COMMITTEE OF	THE WORKING FAMILIE	S PARTY	
A. Full Name (Last, I Data & Field Serv				Type of Allocated Activity: Administrative Fundraising Exempt
Mailing Address 2 Nevins Street				X Voter Drive Direct Candidate Support
City	State	Zip Code		Public Comm (ref to party only) by PAC
Brooklyn	NY	11217		Allocated Activity or Event Year-To-Date
Purpose of Disburse Canvass voter co			Category/ Type	15848.10
Activity or Event Ider Voter Drive(78/40/54			1 .760	Date 1 0 D D Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
FEDE	ERAL SHARE	+ NONFEDER	AL SHARE	= TOTAL AMOUNT
	4437.47		11410.63	15848.10

FEDERAL SHARE	+	NONFEDERAL SHARE	=	TOTAL AMOUNT
4437.47	' '	11410.63		15848.10
This Period (last page for each line of	only)(Federal s	share to 21(a)(i) and NonFederal sh	nare to 21(a)(i))	
. This Period (last page for each line of FEDERAL SHARE	only)(Federal s	share to 21(a)(i) and NonFederal sh NONFEDERAL SHARE	nare to 21(a)(i))	TOTAL AMOUNT
` ' '	only)(Federal s	(/ (/	nare to 21(a)(i))	